

## **SUMMARY OF INVESTOR RIGHTS**

### **Introduction**

This is a summary of rights afforded to you as a Shareholder whose name is registered as the holder of a share in Seilern International Funds PLC (the “Company”, the “Fund” or the “Funds”). Should you require any further information relating to the terms of your investment, please refer to the Prospectus, KID or KIID (for the UK investors), and application form.

### **Entitlement to Receive Certain Information Relating to your Investment**

Audited annual reports and unaudited half-yearly reports incorporating financial statements will be made available to each Shareholder or will be sent on request to any potential investors and will be made available for inspection at the registered office of the Manager or the Company.

The latest Net Asset Value per Share shall be made public at the registered office of the Administrator on each Dealing Day and shall be published on the Business Day immediately succeeding each Dealing Day on the Investment Manager’s public website [www.seilernfunds.com](http://www.seilernfunds.com).

The current prospectus of the Company will also be available from the Manager, a paper copy of which will be delivered to you on request and free of charge.

### **Entitlement to Redeem your Shares**

Subject to the conditions set out in the Prospectus, Shareholders may request that their Shares be repurchased on any Dealing Day.

### **Voting Rights and Related Matters**

Shareholders are entitled to attend and vote at meetings of the Company and of the fund represented by those Shares. The Articles of Association provide that matters may be determined by a meeting of Shareholders on a show of hands unless a poll is requested by five Shareholders or by Shareholders holding 10 per cent or more of the Shares or unless the Chairman of the meeting requests a poll.

On a show of hands every Shareholder present in person or by proxy shall have one vote. On a poll a Shareholder present in person or by proxy shall be entitled to one vote in respect of each share held by him.

Fractional Shares do not carry any voting rights.

## **Entitlement to Make a Compliant**

### ***How to Raise a Complaint***

Information regarding the Manager's complaint procedures is available to Shareholders free of charge upon request. Any complaints concerning the operation or marketing of the Funds outside of the UK and Channel Islands should be directed to the Manager of the Company, Seilern International AG, either by post or email.

Please address your correspondence to:

Seilern International AG  
Attn.: The Compliance Officer  
Austrasse 9  
9490 Vaduz, Liechtenstein

Email: [aseilern@seilernfunds.com](mailto:aseilern@seilernfunds.com)

If your complaint involves Seilern Investment Management Ltd as delegated Investment Manager to the Company, including matters relating to the portfolio and fund performance please get in touch with us using any method you prefer - post or email.

Please address your correspondence to:

Seilern Investment Management Ltd  
Attn.: The Compliance Officer  
Burdett House  
15-16 Buckingham St  
London  
WC2N 6DU

Email: [compliance@seilerninvest.com](mailto:compliance@seilerninvest.com)

The UK Financial Services Ombudsman

Subject to meeting certain criteria UK investors have the right to refer any complaint to the Financial Ombudsman Service and if you are eligible, the service is free of charge. For further details please use the following email address: [www.financialombudsman.org.uk](http://www.financialombudsman.org.uk)

### ***Who will deal with my complaint***

Your complaint will be handled by the relevant Compliance Officer.

### ***How your complaint will be handled***

Our aim is to resolve your complaint as quickly as possible. We will acknowledge it within five working days of the complaint being made.

Investors in the UK are not protected by Financial Services Compensation Scheme (FSCS).

## **Investor Rights Against Service Providers of the Company**

As a Shareholder in the Company, you have a right of action against the Company for any breach of contract.

Shareholders in the Company do not have any direct contractual rights against any service provider appointed in respect of the Company because of the absence of a direct contractual relationship between the Shareholder and the relevant service provider. Instead, the proper plaintiff in an action in respect of which a wrongdoing is alleged to have been committed against the Company by the relevant service provider is typically the Company.

Notwithstanding the foregoing, a Shareholder has a regulatory right of action to pursue the Depositary appointed by the Company in respect (i) any loss of an asset held in the custody of the Depositary or any delegate of the Depositary or (ii) of any other losses caused by the Depositary's negligent or intentional failure to properly fulfil its obligations under applicable regulations provided that this does not lead to a duplication of redress or to unequal treatment of Shareholders in the Company.

## **Representative Actions under Irish Law**

A collective redress mechanism by consumers in respect of infringements of applicable Irish or EU laws is available under the Representative Actions for the Protection of the Collective Interests of Consumers Act 2023 (the "Act") which transposes Directive (EU) 2020/1828 into Irish law.

The Act provides for a mechanism through which consumers may seek collective redress or remedy for an alleged infringement of their consumer rights. Such representative actions may be brought by Qualified Entities as defined in the Act on behalf of consumers and seek injunctive measures and/or redress measures.

Further information on this collective redress mechanism is available from Representative Actions Act - DETE ([enterprise.gov.ie](http://enterprise.gov.ie)).

## **General**

It should be noted that this summary addresses rights conferred on Shareholders under the applicable legislation governing the operation of the Company in which you are invested. You may also be afforded rights under other legislation or regulatory frameworks which are not addressed above, including for example, your rights as a data subject under Regulation (EU) 2016/679.

Capitalised terms not otherwise defined in this summary of investor rights have the meanings assigned to them in the Prospectus.